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MEMO ENDORSED

Via ECF

The Honorable Valerie E. Caproni
United States District Court
Southern District of New York
Thurgood Marshall U.S. Courthouse
40 Foley Square, Room 240
New York, New York 10007

**Re: Trustees of the Welfare, Pension and Annuity Funds of Local No. One,
I.A.T.S.E. v. NBCUniversal Media, LLC
Civil Case No.: 1:19-cv-02748 (VEC)**

Dear Judge Caproni:

This firm represents Defendant and Counter-Claimant, NBCUniversal Media, LLC ("NBCUniversal") in the above-referenced matter. We write to request that this action be referred to mediation before a Magistrate Judge.

Local Rule 83.9(e)(3) authorizes the Court to refer any civil action to mediation at any time. Specifically, it provides that "the assigned District Judge may determine that a case is appropriate for mediation and may order that case to mediation, with or without the consent of the parties, before, at, or after the initial Rule 16(b) case management conference."

A court-ordered mediation will likely be successful in this matter. The parties have attempted to resolve their disputes informally since the filing of the lawsuit, and have made significant progress, but have been unable to resolve their remaining issues, and would benefit from the assistance of a neutral third party.

Hon. Valerie E. Caproni

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By way of background, this actions was brought by Plaintiff Trustees of the Welfare, Pension and Annuity Funds of Local No. One, I.A.T.S.E. (the “Funds”) to recover delinquent employee benefit plan contributions identified by the Funds’ auditor as allegedly owed to the Funds for the years 2013 to 2016. Despite the fact that the auditor’s calculations had not been verified, and the audits had not yet been resolved, and NBCUniversal had at all times represented that it would pay any contributions owed with interest, the Trustees precipitously filed this action on behalf of the Funds. Among the items that were still in dispute when the action was filed was whether NBCUniversal could offset any additional contributions owed with amounts that it had overpaid in 2015 and 2016. After the Trustees filed this lawsuit, seeking payment of all contributions owed, with interest on the delinquent contributions, double interest, attorneys’ fees, and auditor’s fees, NBCUniversal asserted counterclaims for the return and/or offset of overpayments made to the Funds.

After engaging in informal discovery, and providing information to the Funds’ auditor, the parties have now agreed upon the amount of additional contribution owed to the Funds. NBCUniversal thereafter promptly made payment of the additional contributions owed, with full interest. The Trustees only recently advised that that additional interest had accumulated since the auditor’s findings, and NBCUniversal is in the process of verifying the additional interest and thereafter will issue payment for any additional interest owed. As a result, the Funds have already received (or is in the process of receiving) all unpaid contributions and interest on those contributions for the years at issue. The only remaining items in dispute, which the parties are presently unable to resolve on their own, concern equitable considerations of the amount of liquidated damages, attorney’s fees and/or other remedies, if any, to which the Funds are entitled under 29 U.S.C. § 1132(g), and any offset or refund for overpayments made by NBCUniversal, under ERISA § 403(c)(2)(A), 29 U.S.C. § 1103(c)(2)(A), and pursuant to federal common law, and Second Circuit authority in *Frank L. Ciminelli Const. Co. v. Buffalo Laborers Supplement Unemployment Ben. Fund*, 976 F.2d 834 (2d Cir. 1992), *Dumac Forestry Svs., Inc. v. Int’l Blvd. of Electrical Workers*, 814 F.2d 79 (2d Cir. 1987).

Accordingly, NBCUniversal respectfully requests that the Court refer this action to mediation before a Magistrate Judge. NBCUniversal further requests that this action be stayed until mediation is completed and/or that the discovery deadline be extended for two months.

Respectfully submitted,

/s/ DFM

Daniel F. Murphy, Jr.

cc: All Counsel of Record (via ECF)

Application GRANTED. A magistrate referral order will be entered separately. Discovery is STAYED until after the settlement conference is complete. The pretrial conference scheduled for **December 20, 2019** is adjourned until after the settlement conference is held. The parties must notify the Court no later than **one week** after the settlement conference whether they were able to reach a resolution.

SO ORDERED.



12/04/2019

HON. VALERIE CAPRONI
UNITED STATES DISTRICT JUDGE